

# Rewriting & Music

11th International School on Rewriting  
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- |                           |  |   |
|---------------------------|--|---|
| <u>part 0.</u> (today)    | Examples in Musical Creation<br>at different Representation Levels   | acoustic/physical domain<br>& notated/symbolic domain |
| <u>part 1.</u> (today)    | Sequential Music Representations<br>Melodic <b>Similarity</b> , Computational Musicology<br><b>Weighted String Rewriting Systems</b> & <b>Edit Distances</b> | notated/symbolic domain                               |
| <u>part 2.</u> (tomorrow) | Tree-structured Music Representations<br>Music Notation Processing, <b>Transcription</b><br><b>Term Rewriting Systems</b> & <b>Weighted Tree Automata</b>    | notated/symbolic domain                               |

(click on a part to jump to its first slide)

## Part II

# Hierarchical Representations of Music Notation Music Transcription & Score Processing

## Term Rewriting Weighted Tree Automata

with

Vertigo team, CNAM Paris, **Philippe Rigaux**  
Nagoya University, **Masahiko Sakai**  
Ircam, Paris, **Jean Bresson**

1. (digital) music scores
2. Tree-structured representations of music notation  
  **Rhythm Trees** : a hierarchical representation of time
3. **Rewriting** theory of rhythm notation
4. Rhythm Tree **languages** & Enumeration
5. Application to Automated Music **Transcription**



# Digital Music Scores

Besides Audio Data:

Processing & Information Retrieval for **Music Notation**?



composition class of Henri Büsser, National Music Conservatory of Paris, 1945

music notation: an essential vector of **transmission** in Western musical practice  
a means of **preserving** cultural heritage

## Music Notation for Music Practitioners

Music notation = graphical format for music data  
since ~1000 (Guido d'Arezzo)

(digital) music scores, a tool for

- **composers**  
authoring, exchange
- **performers**  
performance : real-time reading or memoization
- **editors**  
online digital score libraries e.g. [nkoda.com](http://nkoda.com)
- **teachers & students**  
transmission
- **librarians**  
heritage : e.g. Gallica
- **scholars** (historians, musicologists...)  
research, analysis

# Common Western Music Notation, a tool for composers

Philippe Manoury - Tensio  
for string quartet and electronic (2010)

The image displays a handwritten musical score for the piece 'Tensio' by Philippe Manoury, composed for string quartet and electronic. The score is divided into two main sections. The upper section, labeled 'IA' in a box, represents the 'virtual quartet (electronics)'. It consists of three staves of traditional music notation, with various notes, rests, and dynamic markings. The lower section represents the 'real string quartet' and is marked with circled numbers 1, 2, and 3. This section includes staves for Violin I (Vl. I), Violin II (Vl. II), Viola (Vla.), and Violoncello (Vcl.). The notation for the string quartet is also in traditional music notation, showing various musical phrases and dynamics. The overall layout is a handwritten manuscript, with clear markings for the different parts and sections.

virtual quartet (electronics)

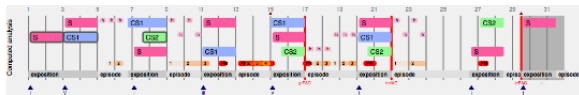
this 3 staves are written in traditional music notation (instead of a DSL for sound processing), in order to express synchronisation with the parts of the string quartet consistently.

real string quartet

digital music scores often contain PDF files (online stores etc)

XML score formats emerged in 2000's (MusicXML, MEI...)

they enable search and retrieval by content  
for scholars, corpus analysis by **digital musicology**  
(statistics, classification, similarity evaluation)  
on individual scores or databases cf. Music 21 (MIT)



digitalisation (from paper scores) :  
Optical Music Recognition (**OMR**) or automated music **transcription**

# Digital Scores for Musical Performance, Teaching, Mediation



iPad **displays** (stands) for music ensembles  
annotation, synchronisation, archiving...

Brussels' Philharmonics  
using NeoScores app

**players**

- MIDI
- multi-modal  
(alignment score/audio)



The Sheet Music Interface  
for multimodal music presentation and navigation



SmartMusic "tutor with infinite patience"

**score following** (realtime alignment)  
for instruments' teaching (with feedback)  
or automatic accompaniment

digital (XML) scores can be modified by **musicians** (performers)

- page skip, arrangements (*e.g.* ossia),
- notation (fingering, synchronization instructions...),
- adaptations for accessibility (magnify fonts, coloured notes, Braille),
- for gamers, visual artists...



copyright-free scores



Score authorship belongs to composers and editors → limitations for copying and sharing

crowdsourcing project **OpenScore** involving



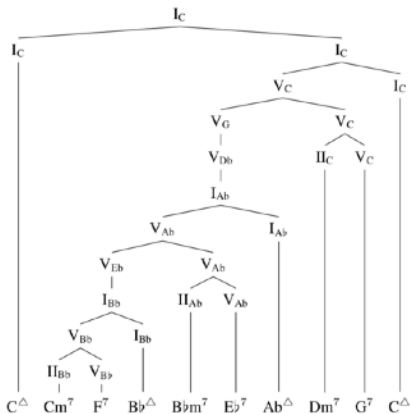
database of free scores (mostly PDF scans)



free and open source (GPL) music edition software

# **Tree Representations in Music Information Retrieval and Music Notation Processing**

Daniel Harasim, Martin Rohrmeier, Timothy J. O'Donnel  
A Generalized Parsing Framework For Generative Models Of Harmonic Syntax  
ISMIR 2018, Journal of Mathematics and Music 5(1) 2011



**Figure 1.** Hierarchical analysis of the A-part of the Jazz-standard *Afternoon in Paris*.

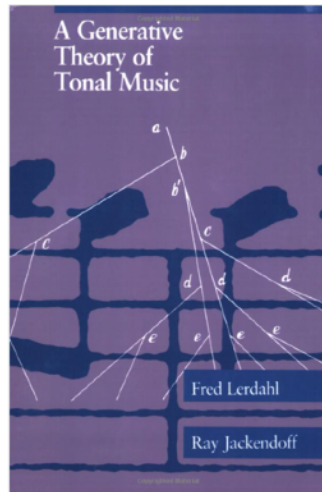
# Tree representations of Shenkerian Analysis

Fred Lerdahl, Ray S. Jackendoff  
GTTM  
MIT press, 1983

tree representations of Shenkerian analyses



Generative Theory of Hip-Hop  
Jonah Katz (MIT)



# Rhythm Trees in Computer Aided Computation

## Open Music Rhythm Trees

intermediate representation of rhythms in  
in OpenMusic, a LISP programming graphical environment for assisted composition

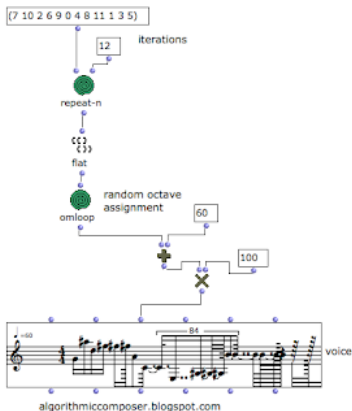
Michael Laurson

Patchwork: A Visual Programming Language  
Helsinki: Sibelius Academy, 1996

Carlos Agon, Karim Haddad, Gérard Assayag  
Representation and Rendering of Rhythm Structures  
JIM, 2002

Rizo

Symbolic music comparison with tree data structures  
PhD thesis U. Alicante, 2010



# **Rhythm Notation & Meter**

# Beat Hierarchies

## Beat Making hardware



16 beats



= 2 \* 8 beats



= 4 \* 4 beats (quadruple meter)

meter

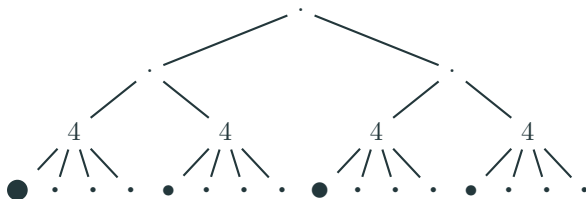
x       x       x       x  
x x x x x x x x  
xxxxxxxxxxxxxxxxxxxx

↑  
strong beat (accent)

↑  
weak beat

## Example beatmaking

Tree representation of a  $4 \times 4$  meter for the previous beatmaking hardware – the 16 beats are in the leaves.



size of dot = metrical strength

in musical notation, 16 beats in 4 measures separated by barlines  
(time signature =  $4/4$  = 4 beats, also denoted by 'C'):



# Beats Hierarchies (2)

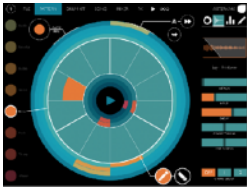
## Beat Making software Patterning Drum Machine



4 \* 4 beats

meter

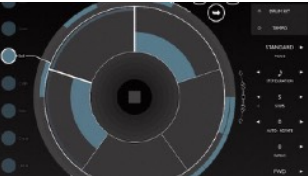
x    x    x    x  
x x x x x x x x  
xxxxxxxxxxxxxxxxxxxx



3 \* 4 beats

meter

x    x    x  
x x x x x x  
xxxxxxxxxxxxxxxx



5 beats

The **meter** is a hierarchical organization of time, with regularly recurring patterns of strong and weak beats (**accents**).

Think of

- steps in dances
- greek/latin antic poetry (inspired the notion of meter in Western music notation)

Beats are time positions.

Strong beats may or may not correspond to events.

Strong beat without an event = surprise

(**syncopation**, because it is against listener's expectation).

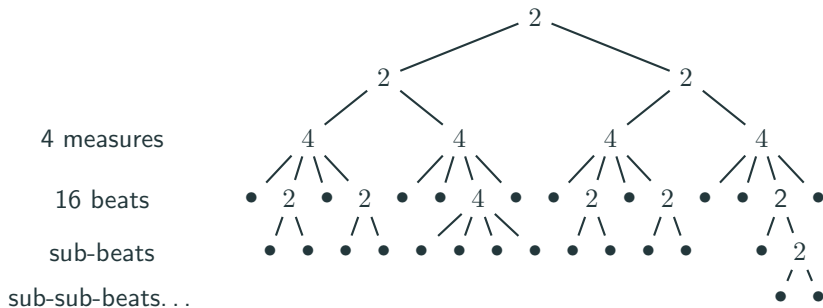
## Simple Quadruple Meter

A time signature defines a meter.

example *beatmakers*: 16 beats organized in 4 measures

quadruple meter, time signature 4/4:

- each **measure** contains 4 **beats**
- each beat can be subdivided by 2 (**simple meter**)
- and nested subdivisions by 2 *etc.*



Similarly for **simple triple meters** (e.g. time signatures  $3/2$ ,  $3/4$ ,  $3/8$ )

- each **measure** contains 3 **beats**
- each beat can be subdivided by 2
- and nested subdivisions by 2 *etc.*

There are also simple **duple meters** (e.g. time signature  $2/2$ ,  $2/4$ ,  $2/8$ ), **quintuple meters**, **septuple meters**, *etc.*

# Compound Meters

Time signature  $6/8$  = compound duple meter

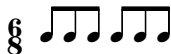
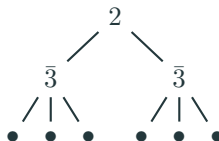
each measure contains 2 beats,

each beat can be subdivided by 3 (compound meter).

1 measure

2 beats

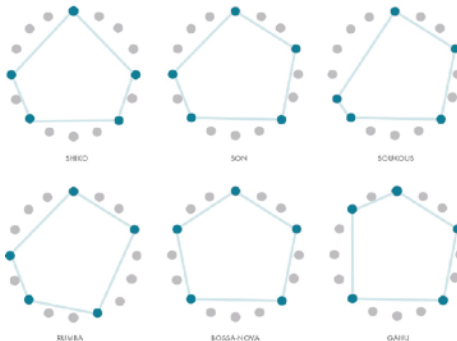
subbeats



Similarly: compound triple metre (time signature  $9/8$ ) compound quadruple metre (time signature  $12/8$ )...

The Geometry of Musical Rhythm:  
What Makes a "Good" Rhythm Good?  
Godfried Toussaint  
CRC Press

The distance geometry of music  
Godfried Toussaint et al.  
Computational Geometry 42 (2009) 429–454



## Hierarchical Structure of Music Notation

The notation gives clues (to player) of the metric structure

bar	1	2	3	4	5
beat	1.1 1.2 1.3	2.1 2.2 2.3	3.1 3.2 3.3	4.1 4.2 4.3	5.1 5.2 5.3
subbeat	1.1.1 1.1.2	2.1.1 2.1.2	3.1.1 3.1.2	3.3.1 3.3.2 4.1.1 4.1.2 4.2.1 4.2.1	5.1.1 5.1.2 5.2.1 5.2.2

The musical notation for the first system is in 3/4 time, starting with a treble clef and a key signature of one flat (B-flat). The melody consists of eighth and sixteenth notes, with some triplets indicated by a '3' over a group of notes. The notation is aligned with the beat and subbeat grid above it.

Polonaise in D minor from Notebook for Anna Magdalena Bach BWV Anh II 128

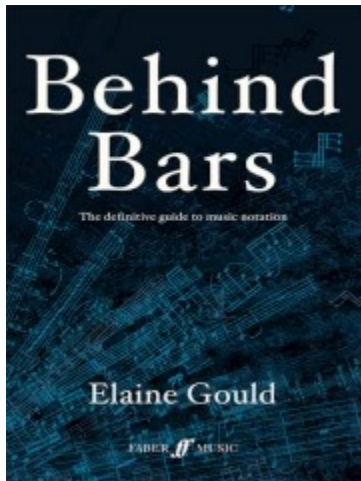
[illegible]

## Term Rewriter's rhythmic notation

with hierarchical encoding of durations: “*the (duration) data is in the structure*”

- the tree leaves contain the events
- the branching define durations, by uniform division of time intervals

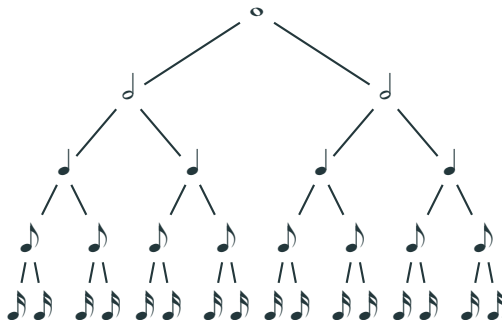
# Rhythm Trees



Behind Bars: The Definitive Guide to Music Notation  
Elaine Gould  
Faber Music

## Hierarchical Notation of Time

In Common Western Music Notation, duration are expressed hierarchically, by nested divisions (of measures, beats, etc.)



# Durations in Common Western Music Notation

Notation of individual notes (with different **note heads** and **flags**) and groups of notes (with **beams**)

						
4	2	1	$\frac{1}{2}$	$\frac{1}{2}$ $\frac{1}{2}$	$\frac{1}{4}$	$\frac{1}{4}$ $\frac{1}{4}$ $\frac{1}{4}$ $\frac{1}{4}$

other "**irregular**" groups, e.g. triplet & quintuplet in simple meters:

	
$\frac{1}{3}$ $\frac{1}{3}$ $\frac{1}{3}$	$\frac{1}{5}$ $\frac{1}{5}$ $\frac{1}{5}$ $\frac{1}{5}$ $\frac{1}{5}$

not every sequence of durations can acceptably be written

		??
$\frac{1}{3}$ $\frac{1}{4}$ $\frac{1}{2}$ $\frac{1}{5}$		

Signature  $\Sigma$ :

constant symbols:

- : 1 note event

binary symbols:

2 : binary division of time interval, without beam,

$\bar{2}$  : binary division with beam

ternary symbols:

3 : ternary division of time interval, without beam,

$\bar{3}$  : ternary division with beam.

Rhythm Trees

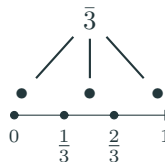
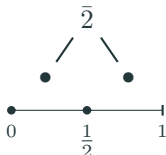
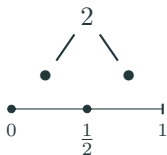
A **Rhythm Tree** is a ground term in  $\mathcal{T}(\Sigma)$ .

## RT first examples

**Beams** (ligatures) are horizontal lines connecting notes, substituting the individual flags (with same meaning for durations).

They are used for grouping, in order to

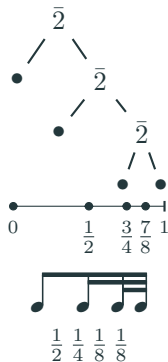
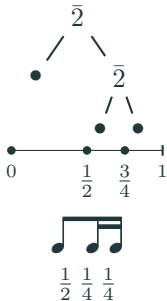
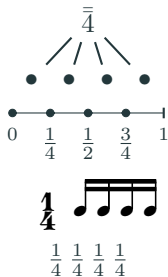
- simplify the reading of notation, and
- highlight the meter.



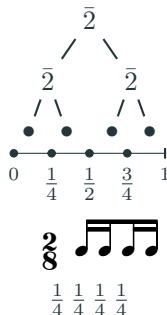
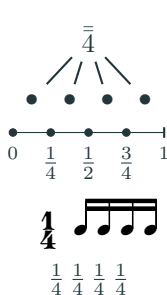
Note the mark '3' (= 3:2) for the triplet in simple meters.

## Nested RTs

Tree nesting is denoted with beaming roughly: **depth** = number of beams/flags

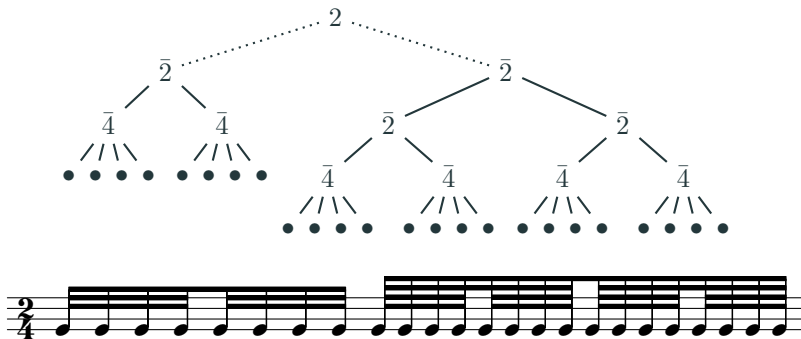


Different nesting/beamings for different meters.



Note the **broken** secondary beams dividing the grouping, It indicates metric separation and eases reading.

## Broken secondary beams



*The number of beams separating two subgroups must be proportional to the duration of the groups they separate.*

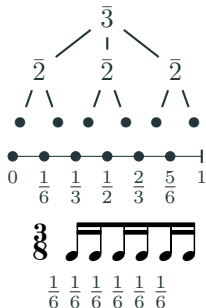
*Helen Gould Behinds Bar*

## Beaming & Meter

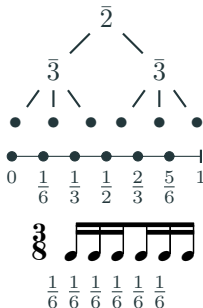
Broken beams can also be used to suggest an **alternative meter** (to the meter defined by time signature).

In this example, the time signature is  $3/8$  = simple triple meter.

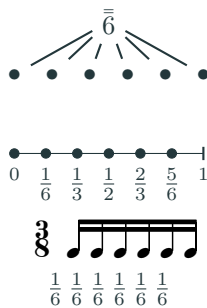
ternary meter  
(normal for  $3/8$ )



binary meter  
(alternative)



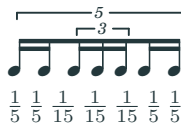
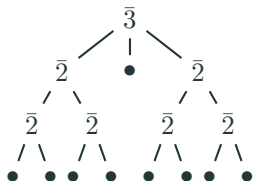
unspecified



## Nested Tuplets

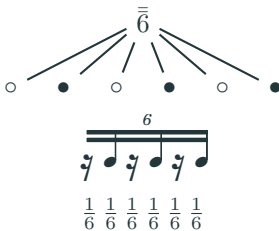
Special **tuplet** notation, for divisions not corresponding to the meter.

They can be nested.



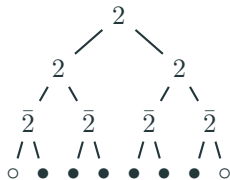
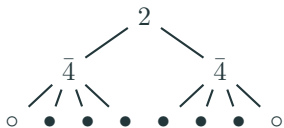
## Rests

Rests (silence) are events in the Rhythm Tree encoding, they are represented by a constant symbol  $\circ$ .



## Beaming in simple quadruple meter

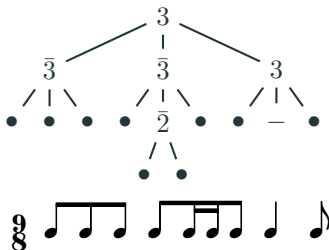
Various notations for  $\left[\frac{1}{2}\right] \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \left[\frac{1}{2}\right]$  in a 4/4 time signature.



By convention, in the 4/4 time signature there are never beams between the notes of beat 2 and of beat 3, in order to keep visible the middle point of the measure.

## Beaming in a compound triple meter

Time signature 9/8: 3 beats per measure, each beat is divided into 3.



A **grace note** is an **out-of-time** event, with duration zero.

Signature  $\Sigma$ :

constant symbols:

- $\circ = 1$  rest event
- $\bullet = 1$  note event
- $\bullet_1 = 1$  grace note followed by 1 note event
- $\bullet_2 = 2$  grace notes followed by 1 note event
- ...

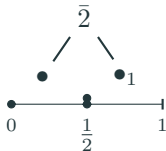
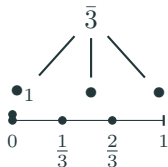
binary symbols:

- $2$  (binary division without beam),
- $\bar{2}$  (binary division with beam)

...

- fix bounds for a finite signature.
- extended frameworks for infinite signature.

## Grace notes (2)

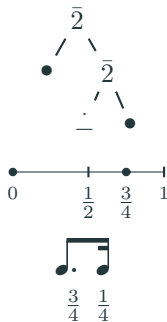
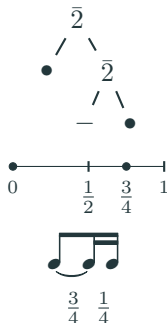


practical interpretation: 'play as you wish'.

Such loose semantics makes it difficult to handle grace notes in transcription. Without care, all events could be transcribed as grace-notes!

## Ties and Dots

A leaf labeled by  $-$  (tie) augments the duration of the previous leaf.  
Hence it represents no new event.



RT: durations of positions

For a rhythm tree  $t$ ,

$$\text{dur}(\text{root}) = 1 \text{ beat}$$

if  $p$  is a leaf,  $p \neq \text{root}(t)$ ,  $\text{nextleaf}(p)$  exists and  $t(\text{nextleaf}(p)) = -$

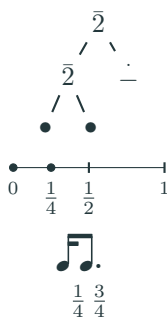
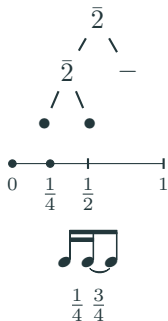
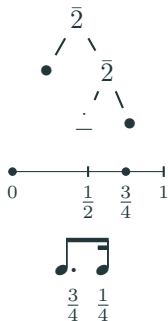
$$\text{dur}(p) = \frac{\text{dur}(\text{parent}(p))}{\text{arity}(\text{parent}(p))} + \text{dur}(\text{nextleaf}(p))$$

otherwise, if  $p \neq \text{root}(t)$

$$\text{dur}(p) = \frac{\text{dur}(\text{parent}(p))}{\text{arity}(\text{parent}(p))}$$

## Ties and Dots (2)

One dot augments the duration of a note by  $\frac{1}{2}$  of its original duration.

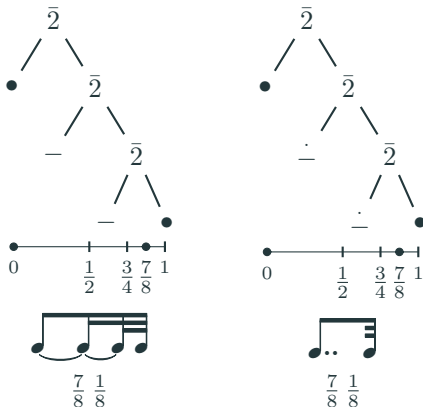


## Ties and Dots (3)

Dots can be cumulated, *i.e.*  $n$  dots after a note augments its duration by  $\frac{2^n-1}{2^n}$  of its original duration ( $\frac{3}{4}$  for 2 dots,  $\frac{7}{8}$  for 3 dots).

In practice,  $n \leq 3$ .

Example with 2 dots.



Dots are useful to switch between simple and compound meters.



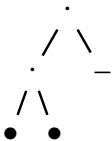
→ **equational theory** to convert one into the other (in a few slides)

# Rhythm DAGs

# Rhythm Dags vs Rhythm Trees

representation of sum of durations by node sharing

rhythm tree



$$\frac{1}{4} \quad \frac{3}{4}$$



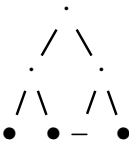
≡ rhythm dag



$$\frac{1}{4} \quad \frac{3}{4}$$



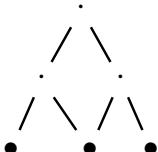
rhythm tree



$$\frac{1}{4} \quad \frac{1}{2} \quad \frac{1}{4}$$



≡ rhythm dag



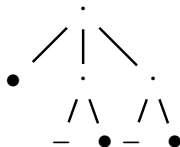
$$\frac{1}{4} \quad \frac{1}{2} \quad \frac{1}{4}$$



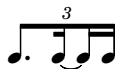
## Rhythm Dags vs Rhythm Trees (2)



$$\frac{1}{2} \quad \frac{1}{3} \quad \frac{1}{6}$$



$$\frac{1}{2} \quad \frac{1}{3} \quad \frac{1}{6}$$

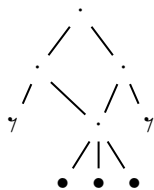


# Rhythm Dags vs Rhythm Trees (3) : ratios

representation of a whole bar by a Dag.

both examples contain a join (node sharing) followed by a fork (division)

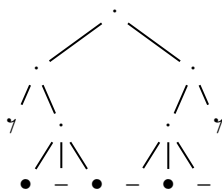
rhythm dag



$$\frac{1}{4} \quad \frac{1}{6} \quad \frac{1}{6} \quad \frac{1}{6} \quad \frac{1}{4}$$



≡ rhythm tree



rhythm dag



$$\frac{3}{16} \quad \frac{3}{16} \quad \frac{3}{16} \quad \frac{3}{16} \quad \frac{1}{4}$$



≡ rhythm dag



# The 'ratio' notation

p:q = p in the time of q

scintillante  
♩ = ca. 68

grazioso

Oboe

2/10

ppp p pp mf ppp mp

Brian Ferneyhough  
Etudes Transcendantes (1982-85)  
oboe part  
first bar of movement 1

# **Structural Theory of Rhythm Notation**

$$p = 2, 3 \dots$$

addition of rests

$$p(\circ, \dots, \circ) \rightarrow \circ$$

$$p(\circ, -, \dots, -) \rightarrow \circ$$

normalization of ties

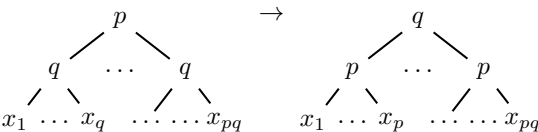
$$p(-, \dots, -) \rightarrow -$$

$$p(\bullet, -, \dots, -) \rightarrow \bullet$$

(same for dots)

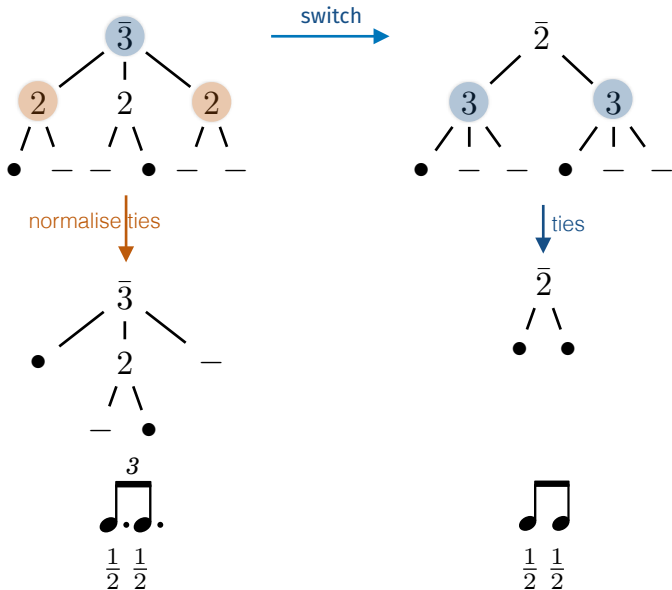
arity switch

$$p(q(x_{1,1}, \dots, x_{1,q}), \dots, q(x_{p,1}, \dots, x_{p,q})) \rightarrow q(p(x_{1,1}, \dots), \dots, p(\dots, x_{p,q}))$$

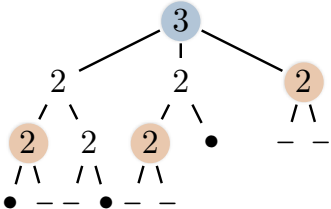


## Rewriting Example

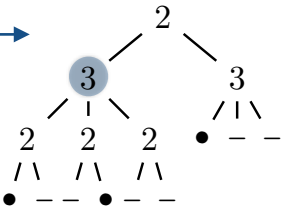
Switch from ternary to binary meter.



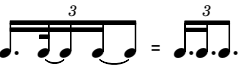
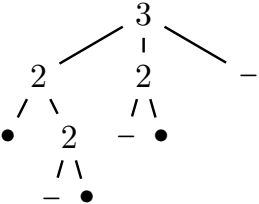
Rewrite Peak



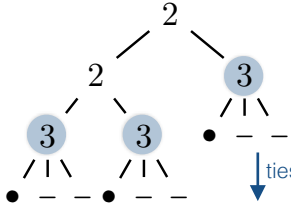
switch →



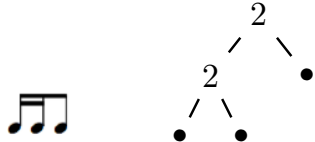
normalise ties ↓



switch ↓



ties ↓



The rewrite rules preserve the durations of leaves.

## Equivalent trees

Every two rhythm trees equivalent modulo  $\leftrightarrow$  have the same duration sequence.

### Confluence

The TRS STRN is not ground confluent.

Hence there is in general no canonical form for rhythm trees.

But that's actually not needed!

- showing equivalence of two RTs is easy (compute duration sequences)
- generation of trees equivalent to a given tree  $t$  is more interesting.

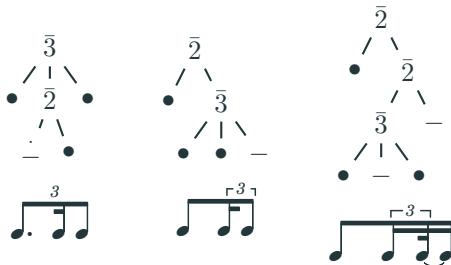
We want efficient representation and enumeration of equivalence classes (sets of rhythm trees with same duration sequence).

# Tree Enumeration Example

Example: enumerate Rhythm Trees of  $\mathcal{T}(\Sigma)$  with a duration sequence:

$$\frac{1}{2} \frac{1}{6} \frac{1}{3}.$$

e.g.



## Tree Enumeration (principle)

enumerate Rhythm Trees of  $\mathcal{T}(\Sigma)$  with a duration sequence:  $\frac{1}{2} \frac{1}{6} \frac{1}{3}$ ,  
by increasing size.

key property: **monotonicity** of size.

csq: a smallest tree (in size) is made of smallest subtrees.

## Tree Enumeration Example (2)

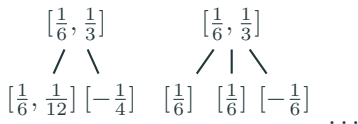
enumerate Rhythm Trees of  $\mathcal{T}(\Sigma)$  with a duration sequence:  $\frac{1}{2} \frac{1}{6} \frac{1}{3}$ ,  
by increasing size.

$$\begin{array}{ccc}
 [\frac{1}{2}, \frac{1}{6}, \frac{1}{3}] & & [\frac{1}{2}, \frac{1}{6}, \frac{1}{3}] \\
 / \quad \backslash & & / \quad | \quad \backslash \\
 [\frac{1}{2}] \quad [\frac{1}{6}, \frac{1}{3}] & & [\frac{1}{3}] \quad [-\frac{1}{6}, \frac{1}{6}] \quad [\frac{1}{3}] \quad \dots
 \end{array}$$

$$\begin{aligned}
 best[\frac{1}{2}, \frac{1}{6}, \frac{1}{3}] &= \min \left( \begin{array}{l} \bar{2}(best[\frac{1}{2}], best[\frac{1}{6}, \frac{1}{3}]) \\ \bar{3}(best[\frac{1}{3}], best[-\frac{1}{6}, \frac{1}{6}], best[\frac{1}{3}]) \\ \dots \end{array} \right) \\
 &= \min \left( \begin{array}{l} \bar{2}(\bullet, best[\frac{1}{6}, \frac{1}{3}]) \\ \bar{3}(\bullet, best[-\frac{1}{6}, \frac{1}{6}], \bullet) \\ \dots \end{array} \right)
 \end{aligned}$$

where min is the tree of minimal size.

## Tree Enumeration Example (3)



$$\begin{aligned}
 best[\frac{1}{6}, \frac{1}{3}] &= \min \left( \begin{array}{l} \bar{2}(best[\frac{1}{6}, \frac{1}{12}], best[-\frac{1}{4}]) \\ \bar{3}(best[\frac{1}{6}], best[\frac{1}{6}], best[-\frac{1}{6}]) \\ \dots \end{array} \right) \\
 &= \min \left( \begin{array}{l} \bar{2}(best[\frac{1}{6}, \frac{1}{12}], -) \\ \bar{3}(\bullet, \bullet, -) \\ \dots \end{array} \right)
 \end{aligned}$$

By bounding the branching and depth,  
computation of *best* is exponential in time.

Enumeration of 1st best, 2d best, 3d best...

by maintaining set of candidate trees instead of min.

## Enumeration of Equivalence Classes

given:

a finite description  $D$  of a set  $L$  of allowed RTs  
a RT  $t$

compute:

a finite description  $D'$  of the subset  $L' \subseteq L$  of RTs  
with the same rhythmic value as  $t$ .

enumerate:

the trees in  $L'$ .

objective:

- size of  $D'$  linear in the size of  $D$ .
- enumeration of the  $k$  best trees in time  $O(k \cdot \text{size}(D')^2)$ .

# **Rhythmic Languages**

**Music Notation &  
Tree Series**



### **Leonard Bernstein**

Norton Lectures at Harvard, 1973

« The Unanswered Question: Six Talks at Harvard »

idea of music as a kind of universal language  
notion of a worldwide, « inborn musical grammar »

cf. **Noam Chomsky** « Language and Mind »  
theory of innate grammatical competence

# Is Music Notation a Language?

Music Notation Processing as a particular case of **Natural Language Processing** ?

- **musical deep structure**:  
melodic motives and phrases, chordal progressions, rhythmic figures, etc
- **musical surface structure**:  
the actual music (sequence of notes)

Music Notation is a **Domain Specific Language** (not a natural language)

- formal language for exchange (transmission),
  - encoding with a small number of symbols,
  - semantics (divisions of time).
- definition of fragments (sub-language of music notation)  
preferred in certain contexts.  
as a regular tree language.

*do prefer notations  
like this*



*or that?*

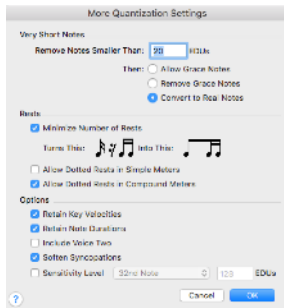
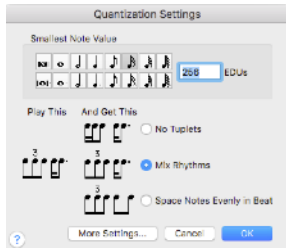




## Finale quantization Settings dialog boxes

Mix definition of output rhythm language and quantization options

→ Trial & error approach to transcription



Common Western Music Notation is a language for **Real-Time** execution:

- it must be parsable easily, on-the-fly, by performers
- counting symbols (or other computations) cannot be afforded
- it must give clues of the meter (accents)

It is crucial for a music score to be easily readable  
→ importance of **notation choices** and preferences



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Tree  
Automata  
Techniques and  
Applications

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HUBERT COMON    MAX DAUCHET    RÉMI GILLERON  
FLORENT JACQUEMARD    DENIS LUGIEZ    CHRISTOP LÖDING  
SOPHIE THON    MARC TOMMASI

<http://tata.gforge.inria.fr>

## TA

A **tree automaton**  $\mathcal{A} = \langle Q, \Delta \rangle$  over a signature  $\Sigma$  is made of

- a finite set of **state** symbols  $Q = \{q, q_0, \dots\}$  disjoint from  $\Sigma$ ,
- a finite set  $\Delta$  of rewrite rules over  $\Sigma \cup Q$  (**transitions**),  
of the form  $a(q_1, \dots, q_n) \rightarrow q_0$   
where  $a \in \Sigma$ , of arity  $n \geq 0$  and  $q_0, \dots, q_n \in Q$ .

The language of  $\mathcal{A}$  in a state  $q \in Q$  is the set of ground terms

$$\mathcal{L}_q = \{t \in \mathcal{T}(\Sigma) \mid t \xrightarrow[\Delta]{*} q\}$$

## Tree Automata Example

Acyclic Tree Automaton  $\mathcal{A}$  for Rhythm Trees with:

division by 2 and then by 2 or 3,  
or division by 3 and then by 2.

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Delta =$$

$\bar{2}(q_1, q_1) \rightarrow q_0$	$\bar{3}(q_2, q_2, q_2) \rightarrow q_0$	$\bullet \rightarrow q_0$	
$\bar{2}(q_3, q_3) \rightarrow q_1$	$\bar{3}(q_3, q_3, q_3) \rightarrow q_1$	$\bullet \rightarrow q_1$	$- \rightarrow q_1$
$\bar{2}(q_3, q_3) \rightarrow q_2$		$\bullet \rightarrow q_2$	$- \rightarrow q_2$
		$\bullet \rightarrow q_3$	$- \rightarrow q_3$

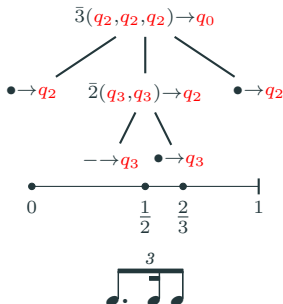
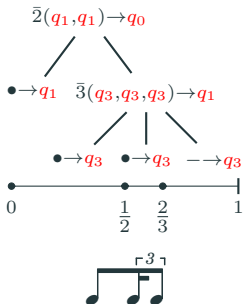
## Example RTs in TA language

computation of  $\mathcal{A}$  on  $t = \bar{2}(\bullet, \bar{3}(\bullet, \bullet, -))$

$$t \xrightarrow{\Delta} \bar{2}(q_1, \bar{3}(\bullet, \bullet, -)) \xrightarrow{\Delta} \bar{2}(q_1, \bar{3}(q_3, q_3, q_3)) \xrightarrow{\Delta} \bar{2}(q_1, q_1) \xrightarrow{\Delta} q_0$$

represented by a tree (called **run**)

- with the shape of  $t$
- labeled by the rules of  $\Delta$  involved



## Example: correct placement of dots

exercise: when can we label a node by a dot instead of a tie?

we can characterize the following patterns with dots  
(remember that a dot must have half the duration the related note):

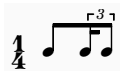
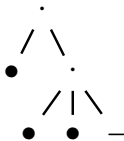


using the following production rules:

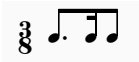
$q_0 \rightarrow q_1, q_2$	$q_1 \rightarrow \bullet$	$q_2 \rightarrow q_., q_x$	$q_{\pm} \rightarrow \dot{\pm}$
$q_0 \rightarrow q'_2, q'_1$	$q'_1 \rightarrow \dot{\pm}$	$q'_2 \rightarrow q_x, q_{\bullet}$	$q_{\bullet} \rightarrow \bullet$
$q_x \rightarrow \dots$			

## Introduction of weight values

in some cases, you may prefer division of beat by 2  
e.g. (binary meter)



in some other cases, you may prefer division of beat by 3  
e.g. (ternary meter)



but we do not want to exclude completely the other case...  
→ quantify the preferences in term of beaming etc.  
→ introduction of weight values in TA transition rules

Weight values are chosen in a semiring

A *semiring*  $\mathcal{S} = \langle \mathbb{S}, \oplus, \mathbb{0}, \otimes, \mathbb{1} \rangle$  is a structure with

- a domain  $\mathbb{S} = \text{dom}(\mathcal{S})$
- two **associative** binary operators  $\oplus$  and  $\otimes$  with neutral elements  $\mathbb{0}$  and  $\mathbb{1}$ ; and such that
- $\oplus$  is **commutative**
- $\otimes$  **distributes** over  $\oplus$ :  $\forall x, y, z \in \mathbb{S}, x \otimes (y \oplus z) = (x \otimes y) \oplus (x \otimes z)$ ,
- $\mathbb{0}$  is **absorbing** for  $\otimes$ :  $\forall x \in \mathbb{S}, \mathbb{0} \otimes x = x \otimes \mathbb{0} = \mathbb{0}$

Intuitively,

- $\oplus$  is for selection of a best value
- $\otimes$  is for composition of values

$\mathcal{S}$  is **commutative** if  $\otimes$  is commutative

$\mathcal{S}$  is **monotonic** wrt a partial ordering  $\leq$  iff for all  $x, y, z$ ,  $x \leq y$  implies  $x \oplus z \leq y \oplus z$ ,  $x \otimes z \leq y \otimes z$  and  $z \otimes x \leq z \otimes y$ .

$\mathcal{S}$  is **idempotent** if  $\forall x \in \mathcal{S}$ ,  $x \oplus x = x$ .

in this case, the **natural ordering**  $\leq_{\mathcal{S}}$  defined by:

$$\forall x, y, x \leq_{\mathcal{S}} y \text{ iff } x \oplus y = x$$

## Semirings Examples

semiring	domain	$\oplus$	$\mathbf{0}$	$\otimes$	$\mathbf{1}$	natural ordering
Boolean	$\{0, 1\}$	$\vee$	$0$	$\wedge$	$1$	$\mathbf{1} \leq_S \mathbf{0}$
Viterbi	$[0, 1] \subset \mathbb{R}_+$	$\max$	$0$	$\cdot$	$1$	$x \leq_S y$ iff $x \geq y$
min-plus	$\mathbb{R}_+ \cup \{+\infty\}$	$\min$	$+\infty$	$+$	$0$	$x \leq_S y$ iff $x \leq y$
max-plus	$\mathbb{R} \cup \{-\infty\}$	$\max$	$-\infty$	$+$	$0$	$x \leq_S y$ iff $x \geq y$

These semirings are

**commutative**:  $\otimes$  is commutative

**idempotent**:  $\forall x, x \oplus x = x$

have an induced **total** natural ordering  $\leq_S$  defined by:

$$\forall x, y, x \leq_S y \text{ iff } x \oplus y = x$$

**monotonic wrt**  $\leq_S$ :  $\forall x, y, z, x \leq_S y$  implies

$$x \oplus z \leq_S y \oplus z$$

$$x \otimes z \leq_S y \otimes z$$

## WTA

A **Weighted Tree Automaton** (WTA)  $\mathcal{A} = \langle Q, \Delta \rangle$  over a signature  $\Sigma$  and a semiring  $\mathcal{S} = \langle \mathbb{S}, \oplus, 0, \otimes, 1 \rangle$  is made of

- a finite set of **state** symbols  $Q = \{q, q_0, \dots\}$  disjoint from  $\Sigma$ ,
- a finite set  $\Delta$  of **weighted** rewrite rules over  $\Sigma \cup Q$  and  $\mathcal{S}$  of the form  $a(q_1, \dots, q_n) \xrightarrow{w} q_0$  where  $a \in \Sigma$ , of arity  $n \geq 0$ ,  $w \in \mathcal{S}$ , and  $q_0, \dots, q_n \in Q$ .

The **tree series** defined by  $\mathcal{A}$  and state  $q \in Q$  is the function

$$\begin{aligned} A_q : \mathcal{T}(\Sigma) &\rightarrow \mathcal{S} \\ t &\mapsto \bigoplus_{t \xrightarrow{\sigma} q} \text{weight}(\sigma) \end{aligned}$$

where  $\text{weight}(\sigma)$ , the weight of the rewrite sequence  $\sigma$  is the product with  $\otimes$  of the rules of  $\Delta$  involved.

Such tree series is called **recognizable**.

## Example Weighted Tree Automata

Acyclic WTA  $\mathcal{A}$  over a min-plus (tropical) Semiring for Rhythm Trees with:

division by 2 and then by 2 or 3,  
or division by 3 and then by 2.

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Delta =$$

$\bar{2}(q_1, q_1)$	$\xrightarrow{25}$	$q_0$	$\bar{3}(q_2, q_2, q_2)$	$\xrightarrow{45}$	$q_0$	•	$\xrightarrow{15}$	$q_0$		
$\bar{2}(q_3, q_3)$	$\xrightarrow{20}$	$q_1$	$\bar{3}(q_3, q_3, q_3)$	$\xrightarrow{70}$	$q_1$	•	$\xrightarrow{10}$	$q_1$	—	$\xrightarrow{25}$ $q_1$
$\bar{2}(q_3, q_3)$	$\xrightarrow{50}$	$q_2$				•	$\xrightarrow{10}$	$q_2$	—	$\xrightarrow{25}$ $q_2$
						•	$\xrightarrow{15}$	$q_3$	—	$\xrightarrow{35}$ $q_3$

Since  $\emptyset$  is absorbing ( $+\infty$  is the above case of min-plus), a rule with weight  $\emptyset$  or a **missing transition** rule are the same thing.

WTA over a Viterbi Semiring:

generalization of **PCFG** (Probabilistic Context-Free Grammars)

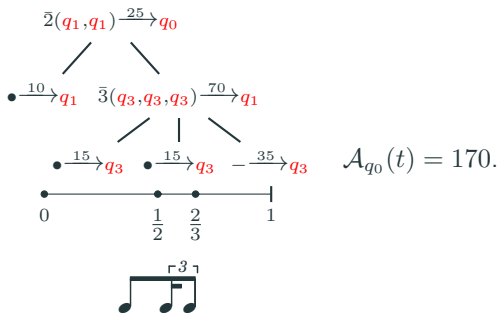
## Example RT weight for WTA

the weight  $\mathcal{A}_{q_0}(t)$  of  $t = \bar{2}(\bullet, \bar{3}(\bullet, \bullet, -))$  is the sum with  $\oplus$  (min in tropical semiring) of weights of all of its runs headed by  $q_0$ .

There is only one run  $r$  of  $\mathcal{A}$  over  $t$  headed by  $q_0$ .

$$t \xrightarrow[\Delta]{10} \bar{2}(q_1, \bar{3}(\bullet, \bullet, -)) \xrightarrow[\Delta]{15+15+35} \bar{2}(q_1, \bar{3}(q_3, q_3, q_3)) \xrightarrow[\Delta]{70} \bar{2}(q_1, q_1) \xrightarrow[\Delta]{25} q_0$$

Hence  $\mathcal{A}_{q_0}(t)$  is the product with  $\otimes$  (sum in tropical semiring) of the weights of rules labeling the nodes of  $r$ .



### WTA Determinism

A WTA  $\mathcal{A} = \langle Q, \Delta \rangle$  is deterministic if for all  $a \in \Sigma$  of arity  $n$  and  $q_1, \dots, q_n \in Q$ , there exists at most  $q \in Q$  such that  $a(q_1, \dots, q_n) \xrightarrow{w \neq \emptyset} q$  is a rule of  $\Delta$ .

**Determinization** of WTA : under conditions on semiring  $\mathcal{S}$  powerset construction: new states in  $\mathcal{S}^Q$ , gives a finite state set when  $\mathcal{S}$  is locally finite (every finite subset of  $\mathcal{S}$  has a finite closure under  $0, 1, \oplus, \otimes$ ).

Tiburon library [May and Knight 06]

**Minimization** of deterministic WTA: PTIME for deterministic WTA over commutative semifields [Maletti 09], [Hanneforth, Maletti, Quernheim 17]

# 1-best Parsing for WTAs

## 1-best

For a WTA  $\mathcal{A}$  over  $\Sigma$  and an idempotent semiring  $\mathcal{S} = \langle \mathbb{S}, \oplus, \mathbb{0}, \otimes, \mathbb{1} \rangle$ ,  
for a state  $q$  of  $\mathcal{A}$ ,  
find a tree  $t \in \mathcal{T}(\Sigma)$  such that  $\mathcal{A}_q(t)$  is minimal *wrt*  $\leq_{\mathcal{S}}$ .

For **deterministic** WTA, there is a unique run for each tree.

→ we focus here on the computation of the **minimal run**.

Hypotheses for the semiring  $\mathcal{S}$ :

$\otimes$  is commutative

$\mathcal{S}$  is monotonic *wrt*  $\leq_{\mathcal{S}}$

$\leq_{\mathcal{S}}$  is total:  $\forall x, y, x \oplus y = x$  or  $x \oplus y = y$

# 1-best Parsing Example

$$\begin{array}{llll}
 \bar{2}(q_1, q_1) & \xrightarrow{25} & q_0 & \bar{3}(q_2, q_2, q_2) \xrightarrow{45} q_0 \bullet \xrightarrow{15} q_0 \\
 \bar{2}(q_3, q_3) & \xrightarrow{20} & q_1 & \bar{3}(q_3, q_3, q_3) \xrightarrow{70} q_1 \bullet \xrightarrow{10} q_1 - \xrightarrow{25} q_1 \\
 \bar{2}(q_3, q_3) & \xrightarrow{50} & q_2 & \bullet \xrightarrow{10} q_2 - \xrightarrow{25} q_2 \\
 & & & \bullet \xrightarrow{15} q_3 - \xrightarrow{35} q_3
 \end{array}$$

$$\begin{aligned}
 best(q_0) &= 25 \otimes best(q_1) \otimes best(q_1), \\
 &\oplus 45 \otimes best(q_2) \otimes best_1(q_2) \otimes best_1(q_2), \\
 &\oplus 15
 \end{aligned}$$

$$\begin{aligned}
 best(q_1) &= 20 \otimes best(q_3) \otimes best(q_3), \\
 &\oplus 70 \otimes best(q_3) \otimes best_1(q_3) \otimes best_1(q_3), \\
 &\oplus 10 \oplus 25
 \end{aligned}$$

$$\begin{aligned}
 best(q_2) &= 50 \otimes best(q_3) \otimes best(q_3), \\
 &\oplus 10 \oplus 25
 \end{aligned}$$

$$best(q_3) = 15 \oplus 35$$

# 1-best Computation for WTAs

## 1-best

For a WTA  $\mathcal{A}$  over  $\Sigma$  and an idempotent semiring  $\mathcal{S} = \langle \mathbb{S}, \oplus, \mathbb{0}, \otimes, \mathbb{1} \rangle$ ,  
for a state  $q$  of  $\mathcal{A}$ ,  
find a tree  $t \in \mathcal{T}(\Sigma)$  such that  $\mathcal{A}_q(t)$  is minimal wrt  $\leq_{\mathcal{S}}$ .

Hypotheses:

$\mathcal{A} = \langle Q, \Delta \rangle$  is acyclic

$\otimes$  is commutative

$\mathcal{S}$  is monotonic wrt  $\leq_{\mathcal{S}}$

$\leq_{\mathcal{S}}$  is total:  $\forall x, y, x \oplus y = x$  or  $x \oplus y = y$

The following  $best(q)$  returns a best run of  $\mathcal{A}$  headed by  $q$

$$best(q) = \bigoplus_{\rho_0 = a \xrightarrow{w} q} \rho_0 \oplus \left[ \bigoplus_{\rho = a(q_1, \dots, q_n) \xrightarrow{w} q} \rho(best(q_1), \dots, best(q_n)) \right]$$

Using a table for storing all the  $best(q)$ ,  
the time complexity is  $O(|Q| \cdot \|\Delta\|)$ .

### $k$ -best

For a WTA  $\mathcal{A}$  over  $\Sigma$  and an idempotent semiring  $\mathcal{S} = \langle \mathbb{S}, \oplus, \mathbb{0}, \otimes, \mathbb{1} \rangle$ ,  
for a state  $q$  of  $\mathcal{A}$ , and  $k \geq 1$

find the  $k$  trees  $t \in \mathcal{T}(\Sigma)$  with  $\mathcal{A}_q(t)$  is minimal wrt  $\leq_{\mathcal{S}}$ .

Use

- one table for storing all the  $best(q, i)$ , for  $1 \leq i \leq k$ , and
- one set of candidate runs  $cand(q)$  for each  $q$ .

## $k$ -best Parsing Example

$$\begin{array}{llll}
 \bar{2}(q_1, q_1) & \xrightarrow{25} & q_0 & \bar{3}(q_2, q_2, q_2) \xrightarrow{45} q_0 \\
 \bar{2}(q_3, q_3) & \xrightarrow{20} & q_1 & \bar{3}(q_3, q_3, q_3) \xrightarrow{70} q_1 \\
 \bar{2}(q_3, q_3) & \xrightarrow{50} & q_2 & \\
 & & & \bullet \xrightarrow{15} q_0 \\
 & & & \bullet \xrightarrow{10} q_1 \\
 & & & \bullet \xrightarrow{10} q_2 \\
 & & & \bullet \xrightarrow{15} q_3
 \end{array}
 \quad
 \begin{array}{l}
 - \xrightarrow{25} q_1 \\
 - \xrightarrow{25} q_2 \\
 - \xrightarrow{35} q_3
 \end{array}$$

Initially, by monotonicity of  $S$ ,

$$cand(q_0) = \left\{ \begin{array}{l} 25 \otimes best(q_1, 1) \otimes best(q_1, 1), \\ 45 \otimes best(q_2, 1) \otimes best_1(q_2, 1) \otimes best_1(q_2, 1), \\ 15 \end{array} \right\}$$

Assume that, after computation, we obtain that:

$$best(q_0, 1) = 25 \otimes best(q_1, 1) \otimes best(q_1, 1)$$

Then start a second round with:

$$cand(q_0) = \left\{ \begin{array}{l} 25 \otimes best(q_1, 1) \otimes best(q_1, 2), \\ 25 \otimes best(q_1, 2) \otimes best(q_1, 1), \\ 45 \otimes best(q_2, 1) \otimes best_1(q_2, 1) \otimes best_1(q_2, 1), \\ 15 \end{array} \right\}$$

...

### $k$ -best

For a WTA  $\mathcal{A}$  over  $\Sigma$  and an idempotent semiring  $\mathcal{S} = \langle \mathbb{S}, \oplus, \mathbb{0}, \otimes, \mathbb{1} \rangle$ ,  
for a state  $q$  of  $\mathcal{A}$ , and  $k \geq 1$   
find the  $k$  trees  $t \in \mathcal{T}(\Sigma)$  with  $\mathcal{A}_q(t)$  is minimal wrt  $\leq_{\mathcal{S}}$ .

Use one table for storing all the  $best(q, i)$ , for  $1 \leq i \leq k$   
and one set of candidate runs  $cand(q)$  for each  $q$ .

Time complexity is  $O(k \cdot |Q| \cdot \|\Delta\|)$ .

And after having computed the  $k$  bests,  
one can continue with the  $k$  next (with same complexity).

### WTA Closure

Let  $s_1, s_2$  be recognizable tree series over  $\Sigma$  and  $\mathcal{S}$ ,  
and let  $x \in \mathcal{S}$ .

The following tree series are recognizable when  $\mathcal{S}$  commutative:

1.  $x \otimes s_1 : t \mapsto x \otimes s_1(t)$  (closure under **scalar product**)
2.  $s_1 \oplus s_2 : t \mapsto s_1(t) \oplus s_2(t)$  (closure under **sum**)
3.  $s_1 \otimes s_2 : t \mapsto s_1(t) \otimes s_2(t)$  (closure under **Hadamard product**)

1. product by  $x$  in the (assumed) final transition rule
2. disjoint union construction.
3. Cartesian product construction.

Assume that the tree series  $s_1$  is recognized by  $\mathcal{A}_1 = \langle Q_1, \Delta_1 \rangle$  in a state  $p_1 \in Q_1$ .

We assume *wlog* that  $p_1$  is not reentering:

$a(q_1, \dots, q_n) \xrightarrow{0} q$  as soon as one  $q_i$ , at least, is  $p_1$ .

Update the weighted transition rules as follows:

$$\begin{aligned} a(q_1, \dots, q_n) &\xrightarrow{x \otimes w} p_1 && \text{if } a(q_1, \dots, q_n) \xrightarrow{w} p_1 \in \Delta_1, \\ a(q_1, \dots, q_n) &\xrightarrow{w} q && \text{if } a(q_1, \dots, q_n) \xrightarrow{w} q \in \Delta_1 \text{ and } q \neq p_1. \end{aligned}$$

## disjoint union construction

Assume that  $s_1, s_2$  are recognized resp. by

$\mathcal{A}_1 = \langle Q_1, \Delta_1 \rangle$  in a state  $p_1 \in Q_1$ , and

$\mathcal{A}_2 = \langle Q_2, \Delta_2 \rangle$  in a state  $p_2 \in Q_2$

and that  $Q_1$  and  $Q_2$  are disjoint.

We construct a new WTA  $\mathcal{A} = (Q_1 \uplus Q_2, \Delta)$ .

It recognizes  $s_1 \oplus s_2$  in state  $\{p_1, p_2\}$  when  $\Delta$  is defined by:

$$\begin{aligned} a(q_1, \dots, q_n) &\xrightarrow{\Delta} q \text{ if } a(q_1, \dots, q_n) \xrightarrow{w_1} q \in \Delta_1 && \text{and } q, q_1, \dots, q_n \in Q_1 \\ a(q_1, \dots, q_n) &\xrightarrow{\Delta} q \text{ if } a(q_1, \dots, q_n) \xrightarrow{w_2} q \in \Delta_2 && \text{and } q, q_1, \dots, q_n \in Q_2 \\ a(q_1, \dots, q_n) &\xrightarrow{\Delta} q && \text{otherwise} \end{aligned}$$

### Cartesian product construction

Assume that  $s_1, s_2$  are recognized resp. by

$\mathcal{A}_1 = (Q_1, \Delta_1)$  in a state  $p_1 \in Q_1$ , and

$\mathcal{A}_2 = (Q_2, \Delta_2)$  in a state  $p_2 \in Q_2$ .

and that  $Q_1$  and  $Q_2$  are disjoint.

We construct a new WTA  $\mathcal{A} = (Q_1 \times Q_2, \Delta)$ .

It recognizes  $s_1 \otimes s_2$  in state  $\langle p_1, p_2 \rangle$  when  $\Delta$  is defined by:

$$a(\langle q_1^1, q_1^2 \rangle, \dots, \langle q_n^1, q_n^2 \rangle) \xrightarrow[\Delta]{w_1 \otimes w_2} \langle q^1, q^2 \rangle$$

when  $a(q_1^1, \dots, q_n^1) \xrightarrow{w_1} q^1 \in \Delta_1$  and  $a(q_1^2, \dots, q_n^2) \xrightarrow{w_2} q^2 \in \Delta_2$ .

# Enumeration of Equivalent Classes of Trees

approach similar to the principle used for transcription

## Enumeration of equivalent RTs

given:

a WTA  $\mathcal{A}$  over  $\Sigma$  and an idempotent semiring  $\mathcal{S}$ , a state  $q_0$  of  $\mathcal{A}$   
a RT  $t$

return: a WTA  $\mathcal{A}'$  and a state  $q'_0$  of  $\mathcal{A}'$  such that for all  $t' \in \mathcal{T}(\Sigma)$

$\mathcal{A}'_{q'_0}(t') = \mathcal{A}_{q_0}(t')$  if  $t'$  has the same rhythmic value as  $t$ ,  
 $\mathcal{A}'_{q'_0}(t') = 0$  otherwise.

enumerate: the tree series  $\mathcal{A}'_{q'_0}$ , following  $\leq_{\mathcal{S}}$ .

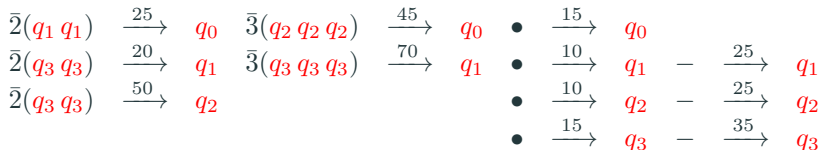
$\mathcal{A}'$  is the Hadamard product of  $\mathcal{A}$  and an automaton  $\mathcal{A}_t$  for the divisions of the duration sequence of  $t$  (all its rules have weight  $\mathbb{1}$ ).

$$q'_0 = \langle q_0, ds(t) \rangle.$$

- $\mathcal{A}_t$  can be built in a way that the size of  $\mathcal{A}'$  is linear in the size of  $\mathcal{A}$ .
- the enumeration of the  $k$  best trees is done in time  $O(k \cdot |\text{states}(\mathcal{A}')| \cdot \|\text{rules}(\mathcal{A}')\|)$ .

## Enumeration of Equivalent RTs (example)

Trees with duration sequence:  $\frac{1}{2} \frac{1}{6} \frac{1}{3}$



Only 3 trees (others have weight 0).



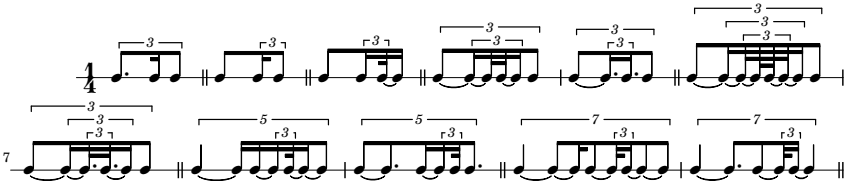
Enumeration of Equivalent RTs (example 2)

$\bullet \xrightarrow{0.1} q_0$   
 $\bar{2}(q_1, q_1) \xrightarrow{0.25} q_0$   
 $\bar{3}(q_1, q_1, q_1) \xrightarrow{0.45} q_0$   
 $\bar{5}(q_4, q_4, q_4, q_4, q_4) \xrightarrow{0.45} q_0$   
 $\bar{7}(q_4, q_4, q_4, q_4, q_4, q_4, q_4) \xrightarrow{0.45} q_0$

$\bullet \xrightarrow{0.1} q_1$   
 $- \xrightarrow{0.25} q_1$   
 $\bar{2}(q_2, q_2) \xrightarrow{0.2} q_1$   
 $\bar{3}(q_2, q_2, q_2) \xrightarrow{0.7} q_1$

$\bullet \xrightarrow{0.1} q_2$   
 $- \xrightarrow{0.25} q_2$   
 $\bar{2}(q_3, q_3) \xrightarrow{0.5} q_2$   
 $\bar{3}(q_3, q_3, q_3) \xrightarrow{0.5} q_2$   
 $\bullet \xrightarrow{0.15} q_3$   
 $- \xrightarrow{0.35} q_3$   
 $\bar{2}(q_5, q_5) \xrightarrow{0.5} q_3$

$\bullet \xrightarrow{0.1} q_4$   
 $- \xrightarrow{0.25} q_4$   
 $\bar{2}(q_5, q_5) \xrightarrow{0.5} q_4$   
 $\bar{3}(q_5, q_5, q_5) \xrightarrow{0.5} q_4$   
 $\bullet \xrightarrow{0.1} q_5$   
 $- \xrightarrow{0.25} q_5$



# Enumeration of More Equivalent RTs (example 3)

The image displays a musical score titled "Enumeration of More Equivalent RTs (example 3)". The score consists of 57 numbered measures, arranged in two columns. The measures are numbered 1 through 57, with some numbers appearing on the left and others on the right of the staff lines. Each measure contains a staff with notes and rests, often with bracketed groups of notes and numbers (3, 5, 7) indicating specific rhythmic or structural elements. The notation is complex, featuring many beamed notes and rests, suggesting a fast or intricate tempo. The score is organized into two columns, with the first column containing measures 1 through 29 and the second column containing measures 31 through 57. The measures are numbered 1 through 57, with some numbers appearing on the left and others on the right of the staff lines.

# Representation of polyrhythms

## Chopin Nocturne si majeur opus 9 No 3

9

Ted. \* Ted. \* Ted. simile)

merging hands for 1st half of bar 9: [1/5 2/15 1/15 1/5 1/15 2/15 1/5] in 6 alt. notations

5 3 3 5 3 5 5

5 3 3 5 3 5 5

5 3 3 5 3 5 5

5 3 3 5 3 5 5

5 3 3 5 3 5 5

5 3 3 5 3 5 5

## James Bean - dn-m

iPad app that allows performers to interact with the musical notation  
 simple and clear textual input language for the composer to input his/her music  
 → integration of the rhythm enumeration algorithm.

[www.jamesbean.info/denm](http://www.jamesbean.info/denm)

The image displays a musical score for James Bean's dn-m, organized into five systems. Each system begins with a measure number and a time signature:

- System 1:** Measure 1, 9/16. Features a red line with a blue triangle and a purple line with a blue circle, both labeled with rhythmic notation (7F9 and 5F6 respectively). A purple bar is also present.
- System 2:** Measure 2, 2/16. Features a red line with a blue triangle and a purple line with a blue circle, both labeled with rhythmic notation (7F9 and 5F6 respectively). A purple bar is also present.
- System 3:** Measure 3, 3/16. Features a red line with a blue triangle and a purple line with a blue circle, both labeled with rhythmic notation (7F9 and 5F6 respectively). A purple bar is also present.
- System 4:** Measure 4, 11/16. Features a red line with a blue triangle and a purple line with a blue circle, both labeled with rhythmic notation (7F9 and 5F6 respectively). A purple bar is also present.
- System 5:** Measure 5, 4/16. Features a red line with a blue triangle and a purple line with a blue circle, both labeled with rhythmic notation (7F9 and 5F6 respectively). A purple bar is also present.

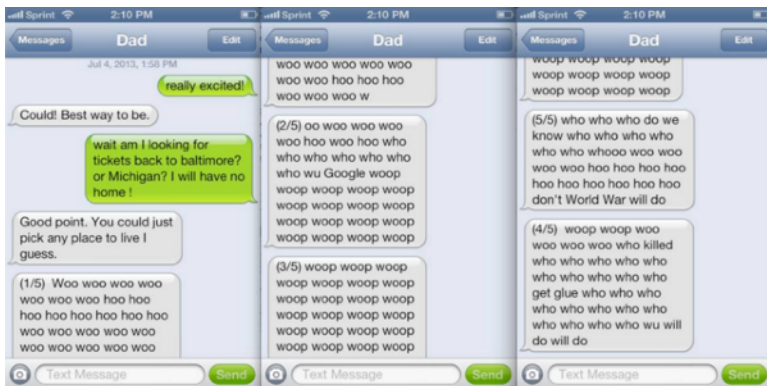
The score includes various musical notations, including notes, rests, and dynamic markings (p, f). The notation is presented in a clear, structured manner, with each system containing a measure number, a time signature, and a series of musical notes and rests.

# **Automated Music Transcription**

# Automated Transcription

in Natural Language Processing:  
speech-to-text or voice recognition

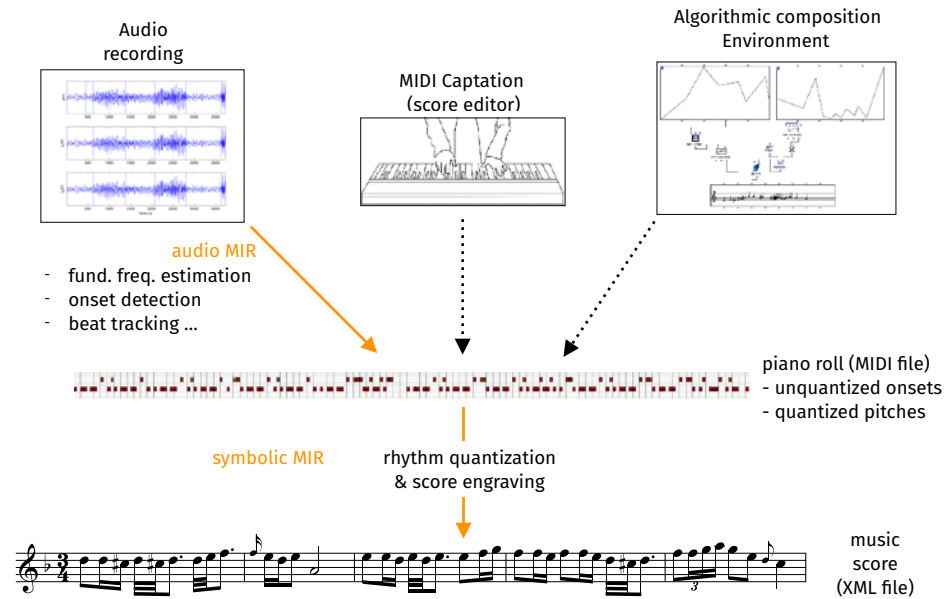
poor's man music transcription



« My dad accidentally texted me with voice recognition while playing the tuba »

# Automated Music Transcription

conversion of a recorded music performance into a music score



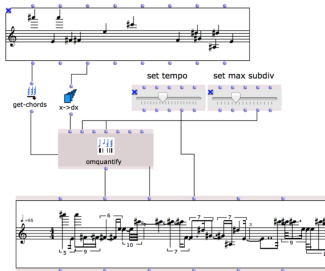
# Grid-based approach to Rhythm quantization

Implemented in most of the Digital Audio Workstation software

assume a fixed minimal duration = **tatum**.

alignment of notes to closest multiples of tatum

- + efficient quantization: try a few tatum values
- transcription result can be über-complicated

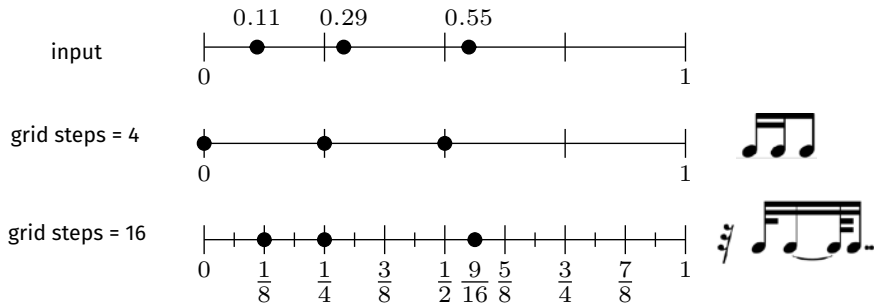


The screenshot shows the RIMS software interface. The main window displays four staves of musical notation. Each staff begins with a 4/4 time signature and a tempo marking of 60. The notation consists of eighth and sixteenth notes, many of which are grouped with beams and have numerical values (1, 2, 3, 4, 5, 6, 7, 8, 9) written above them, likely representing quantization values or subdivisions. The interface includes a control bar at the bottom with buttons for 'Play', 'Stop', and 'Exit', as well as checkboxes for 'chord' and 'line'.

transcription in Open Music

# Grid-based Approaches to Rhythm Quantization

overfitting



→ find good compromise between precision and complexity of notation  
(multicriteria optimisation)

→ quantitative parsing

## Sequential models of music notation

Can be learned (from score corpora). Popular for transcription since 2000's.

### Sequential score models:

HMMs: the probability of a note's duration depends on the previous note's duration and the input duration



Markov model of note values  
[Sagayama et al 2002]



Markov process on meter positions  
[Raphael 2001], [Goto et al 2003],  
[Cemgil et al 2003]

### Hierarchical score models:

Probabilistic Context-Free Grammars (PCFG): [Tanji, Ando, Iba 2008]  
model defines the probability of subdivisions (recursively)

+ larger **search window** (approx. 1 or 2 measure)

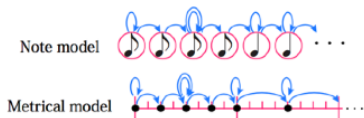
# MIDI to score transcription with independent subtasks

transcription approaches with sequential models of durations

## 1. Rhythm Quantization



with HMM



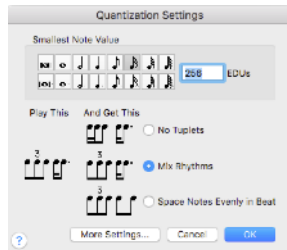
## 2. Score Engraving



delegated to functionality of a score editor  
(MIDI import)

## 3. Interface between the 2 subtasks? Problems with

- complex rhythm, deep nesting
- mixed tuplets
- rests, grace notes...



## MIDI to score transcription with coupled RQ & score engraving

unquantized	→	tree	~	XML score file
sequential data	→	1/2 structured data		1/2 structured data

transcription approach with:

- **Rhythm Tree** representations
- **Weighted WTA** model of music notation
- computing solutions with **quantitative parsing** techniques (1-best or 1k-best)  
efficient and modular

a WTA is given, that represents notational preferences.

example over a min-plus semiring: weight values are penalties (costs)  
(toy) language of sequences of 1/4 measures containing RTs

state symbols:  $q$  (measure seq.),  $q_0$  (1 measure = 1 beat),  $q_1, q_2, \dots$

$\top(q_0, q)$	$\xrightarrow{0}$	$q$	$\top(q_0, q_0)$	$\xrightarrow{0}$	$q$			
$\bar{2}(q_1, q_2)$	$\xrightarrow{6}$	$q_0$	$\bar{3}(q_1, q_2, q_2)$	$\xrightarrow{12}$	$q_0$			
$-$	$\xrightarrow{15}$	$q_0$	$\bullet$	$\xrightarrow{7}$	$q_0$	$\bullet_1$	$\xrightarrow{79}$	$q_0$
$-$	$\xrightarrow{2}$	$q_1$	$\bullet$	$\xrightarrow{1}$	$q_1$	$\bullet_1$	$\xrightarrow{25}$	$q_1$
$\bar{2}(q_3, q_3)$	$\xrightarrow{10}$	$q_2$	$\bullet$	$\xrightarrow{2}$	$q_2$			
$\bar{2}(q_4, q_4)$	$\xrightarrow{11}$	$q_3$	$-$	$\xrightarrow{4}$	$q_3$	$\bullet$	$\xrightarrow{1}$	$q_4$

a priori Language of Notation

weight =  
notational  
complexity

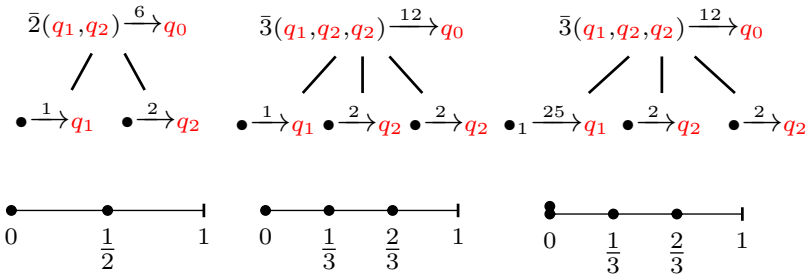
9

17

41

= product with  $\otimes$  of weights of rules involved

run

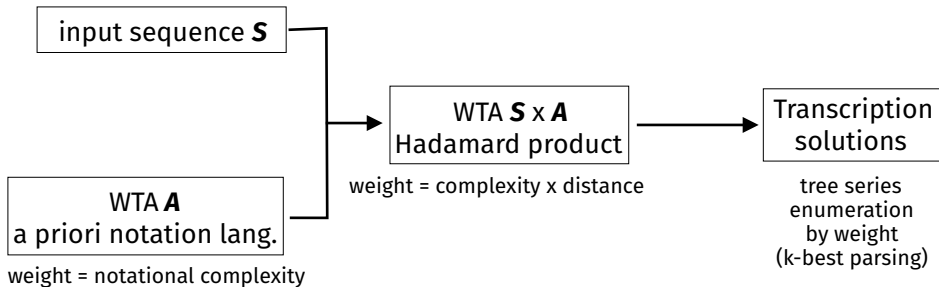


corresponding  
notation





## Rhythm Transcription in by k-best parsing



## Transcription by k-best parsing

an **input** to transcribe is a sequence  $\sigma$  of musical events with dates  
it is composed with the **a priori WTA**  $\mathcal{A}$  for notational preferences  
(**Hadamard product**).

in the product automaton  $\mathcal{A}_\sigma$ , the weights in transition are computed by  
product with  $\otimes$  of the notational complexity (defined by  $\mathcal{A}$ ) and a  
distance of transcription to the input  $\sigma$ .

- with a Viterbi semiring,  $\otimes$  is a probability product to maximize.
- with a min-plus semiring  $\otimes$  is a sum to minimize.  
similar to scalarization by weighted sum in **multi-criteria optimization**.

Solution to transcription are computed (as RT) by 1-best or  $k$ -best  
**parsing**, applied to the product WTA.

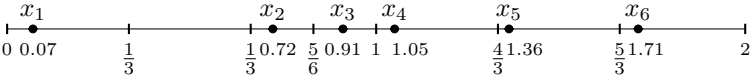
for efficiency, the product automaton  $\mathcal{A}_\sigma$  is actually computed **on-the-fly**  
(lazily) during parsing.

The RT solutions are converted into **music scores** (XML/MEI).

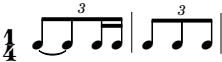
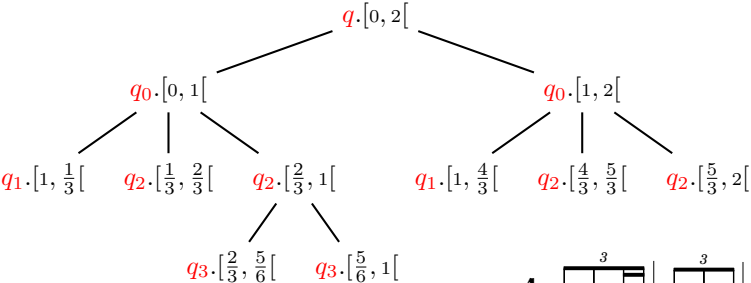
# Transcription by 1-best parsing

ex.1: steady tempo, all-left alignments

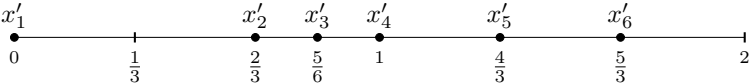
input



best  
parse tree

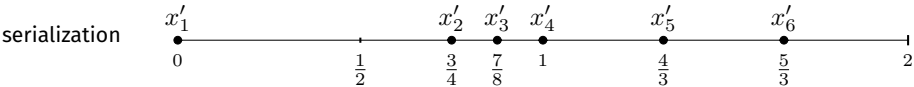
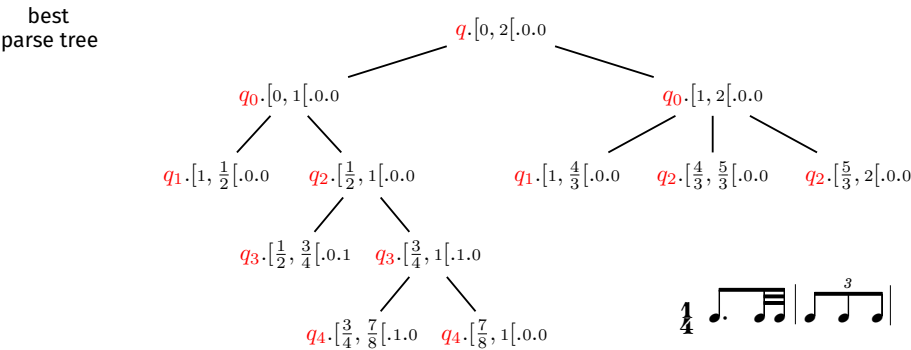
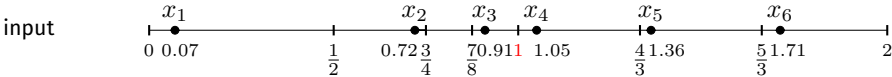


serialization

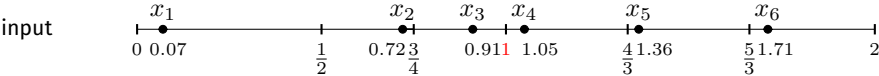


# Transcription by 1-best parsing

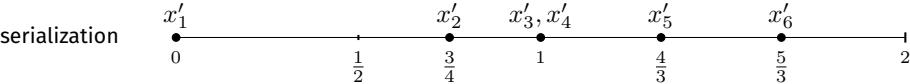
ex.2: another extension for transcription with steady tempo, alignments to left or right



# Transcription by 1-best parsing



if we reduce the penalty for grace-notes,  $q_1 \xrightarrow{7} \bullet_1$   
the best parse tree corresponds to:



## Former Development : Open Music RQ lib

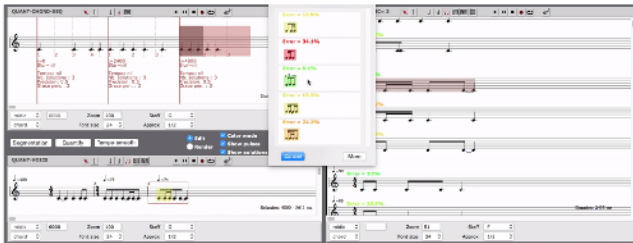
## OpenMusic RQ 2016-17

## Adrien Ycart

## Jean Bresson

<https://forge.ircam.fr/p/omlibraries/downloads/>

<http://repmus.ircam.fr/cao/rq>



CommonLisp/CLOS, 350 functions, 4900 lines of code

UI: Open Music object

input from chord-seq (notes, onset, dur) + segmentation marks,

output to voice (OM rhythm trees)

kernel: table construction and enumeration algo

## Current Development : sparse C++ library

### qparse standalone lib

C++, 25000 lines of code  
automata constructions and parsing  
MIDI input,  
XML/MEI, Lilypond, Guido output  
command line prototypes for evaluation  
objective: plugin for integration into a score editor

<https://qparse.gitlabpages.inria.fr>  
<https://gitlab.inria.fr/qparse/qparselib>



transcription: MIDI recording to XML/MEI

<https://qparse.gitlabpages.inria.fr>  
<https://gitlab.inria.fr/qparse/qparselib>

## original score

Beethoven, Trio  
for violin, cello  
and piano, op.70  
n.2 (2d mov)



transcription  
of MIDI  
recording  
with  
qparse



transcription: MIDI recording to MusicXML

finale.  
music notation software

## original score

Beethoven, Trio  
for violin, cello  
and piano, op.70  
n.2 (2d mov)



## transcription of MIDI recording with **Finale**.



options:  
mixed rhythms,  
tuplets  
smallest note = 32nd  
The time signature and the  
tempo are given.

C++ library. MIDI input, XML/MEI or Lilypond output

<https://qparse.gitlabpages.inria.fr>  
<https://gitlab.inria.fr/qparse/qparselib>

original score

Polonaise in D minor  
from Notebook for Anna  
Magdalena Bach BWV  
Anh II 128



transcription  
of MIDI  
recording  
(100 ms) by  
**qparse** with generic  
grammar



MEI output,  
display  
with Verovio